Financial Adviser Profile

Overview

Nathan has been providing Financial Advice to individuals, companies, trusts and SMSFs for 20 years, to clients throughout the wider Victorian region.

Nathan prides himself on building quality, lasting and trusting relationships with clients from all walks of life, helping them to make informed decisions on all aspects of their financial lives.

He has a particular focus on educating his clients so that they can enter into major financial decisions with full confidence.

Nathan Rogers is a Sub-Authorised Representative of Capstone Financial Services Pty Ltd, Corporate Authorised Representative No. 305345. Authorised Representative No. 338230.

Qualifications

Nathan holds a Bachelor of Commerce and an Advanced Diploma of Financial Services (Financial Planning); and has passed all educational requirements including the FASEA exam allowing him to provide advice – and as such, meets the competency requirements under ASICs Regulatory Guide RG 146.

Professional Memberships

Nathan Rogers is a Certified Financial Planner® and member of the Financial Adviser Association Australia and abides by their code of professional conduct and ethics.

Authorisations

Nathan is authorised to provide advice and deal in the following financial products:

- Life Products including Investment Life Insurance Products and Life Risk Insurance Products
- Interests in Managed Investment Schemes including Investor Directed Portfolio Services
- Deposit and Payment Products
- Retirement Savings Accounts ("RSA") products
- Debentures, Stocks or Bonds issued or proposed to be issued by a Government
- Superannuation
- Securities.

Restrictions – Securities Only
This authorised representative is only authorised to give class of product advice in relation to Securities.

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Business Name Advice Fees and Charges

Nathan will be paid by Financial Planning Advice Fees and/or Commissions as described in the Financial Services Guide. The amount of the benefit and how it is calculated will be advised to you during your initial meeting.

Nathan's fee for the preparation of a Statement of Advice and other Advice documents will vary depending on the complexity involved and the time taken. You will be notified of the costs involved prior to the commencement of any work.

Nathan provides the option of ongoing reporting and advisory services. You will be notified of the cost involved prior to the commencement of any ongoing services.

Capstone Financial Services Pty Ltd pays a fixed licensing fee to Capstone Financial Planning Pty Ltd and will receive all revenue earned from the financial services provided to you. Nathan is a salaried employee of Capstone Financial Services Pty Ltd and will receive a salary/benefit from this company.

Other Benefits Adviser May Receive

From time to time Nathan may be invited to social or sporting events and receive the occasional gift such as a bottle of wine or hamper on special occasions. These non-cash benefits will have a value of less than $300. A register listing the details of any non-cash benefits between $100 and $300 is maintained. These invitations and gifts do not influence the advice provided to you. If you would like more information you can request a copy of the register.

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